# NMK20303 - Database System Concepts and Architecture

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## Outline

- Data Models and Their Categories
- History of Data Models
- Schemas, Instances, and States
- Three-Schema Architecture
- Data Independence
- DBMS Languages and Interfaces
- Database System Utilities and Tools
- Centralized and Client-Server Architectures
- Classification of DBMSs

### Data Models

### • Data Model:

 A set of concepts to describe the *structure* of a database, the *operations* for manipulating these structures, and certain *constraints* that the database should obey.

### • Data Model Structure and Constraints:

- Constructs are used to define the database structure
- Constructs typically include *elements* (and their *data types*) as well as groups of elements (e.g. *entity, record, table*), and *relationships* among such groups
- Constraints specify some restrictions on valid data; these constraints must be enforced at all times

### • Data Model Operations:

- These operations are used for specifying database *retrievals* and *updates* by referring to the constructs of the data model.
- Operations on the data model may include basic model operations (e.g. generic insert, delete, update) and user-defined operations (e.g. compute\_student\_gpa, update\_inventory)

# Categories of Data Models

- Conceptual (high-level, semantic) data models:
  - Provide concepts that are close to the way many users perceive data.
    - (Also called *entity-based* or *object-based* data models.)
- Physical (low-level, internal) data models:
  - Provide concepts that describe details of how data is stored in the computer. These are usually specified in an ad-hoc manner through DBMS design and administration manuals
- Implementation (representational) data models:
  - Provide concepts that fall between the above two, used by many commercial DBMS implementations (e.g. relational data models used in many commercial systems).
- Self-Describing Data Models:
  - Combine the description of data with the data values. Examples include XML, key-value stores and some NOSQL systems.

## Schemas versus Instances

- Database Schema:
  - The *description* of a database.
  - Includes descriptions of the database structure, data types, and the constraints on the database.
- Schema Diagram:
  - An *illustrative* display of (most aspects of) a database schema.
- Schema Construct:
  - A *component* of the schema or an object within the schema, e.g., STUDENT, COURSE.
- Database State:
  - The actual data stored in a database at a *particular moment in time*. This includes the collection of all the data in the database.
  - Also called database instance (or occurrence or snapshot).
    - The term *instance* is also applied to individual database components, e.g. *record instance, table instance, entity instance*

### STUDENT

Name Student\_number Class Major

#### COURSE

Course\_name Course\_number Credit\_hours Department

#### PREREQUISITE

Course\_number Prerequisite\_number

### SECTION

	Section_identifier	Course_number	Semester	Year	Instructor
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### GRADE\_REPORT

Student\_number Section\_identifier Grade

# Database Schema vs. Database State

- Database State:
  - Refers to the *content* of a database at a moment in time.
- Initial Database State:
  - Refers to the database state when it is initially loaded into the system.
- Valid State:
  - A state that satisfies the structure and constraints of the database.
- Distinction
  - The *database schema* changes very infrequently.
  - The *database state* changes every time the database is updated.
- Schema is also called intension.
- State is also called extension.

## Example Database Schema - database state

### STUDENT

Name Student_number	Class	Major
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Figure 2.1 Schema diagram for the database in Figure 1.2.

### COURSE

Course_name	Course_number	Credit_hours	Department
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### PREREQUISITE

Course_number	Prerequisite_number
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### SECTION

Section_identifier	Course_number	Semester	Year	Instructor
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### GRADE\_REPORT

Student_number	Section_identifier	Grade	
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#### COURSE

Course_name	Course_number	Credit_hours	Department
Intro to Computer Science	CS1310	4	CS
Data Structures	CS3320	4	CS
Discrete Mathematics	MATH2410	3	MATH
Database	CS3380	3	CS

#### SECTION

Section_identifier	Course_number	Semester	Year	Instructor
85	MATH2410	Fall	04	King
92	CS1310	Fall	04	Anderson
102	CS3320	Spring	05	Knuth
112	MATH2410	Fall	05	Chang
119	CS1310	Fall	05	Anderson
135	CS3380	Fall	05	Stone

#### GRADE\_REPORT

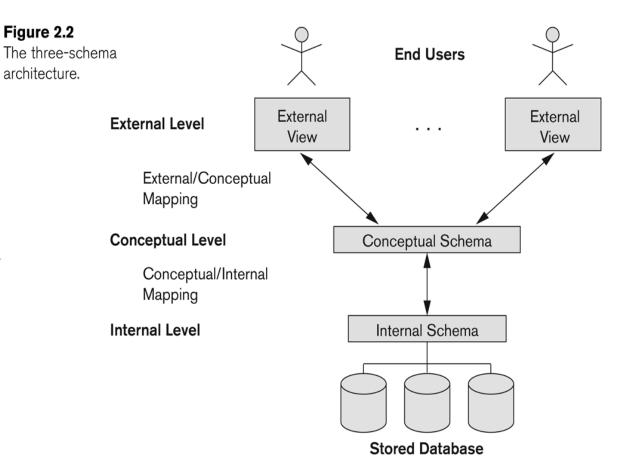
Student_number	Section_identifier	Grade
17	112	В
17	119	С
8	85	A
8	92	A
8	102	В
8	135	A

#### PREREQUISITE

	Course_number	Prerequisite_number
Figure 1.2	CS3380	CS3320
A database that stores	CS3380	MATH2410
student and course information.	CS3320	CS1310

# Three-Schema Architecture

- Proposed to support DBMS characteristics of:
  - Program-data independence.
  - Support of **multiple views** of the data.
- Not explicitly used in commercial DBMS products
- Useful in explaining database system organization
- Defines DBMS schemas at *three* levels:
  - Internal schema
    - Describe physical storage structures and access paths (e.g indexes).
    - Typically uses a **physical** data model.
  - Conceptual schema [Conceptual level]
    - Describe the structure and constraints for the whole database for a community of users.
    - Uses a **conceptual** or an **implementation** data model.
  - External schemas [external level]
    - Describe various user views.
    - Usually uses the same data model as the conceptual schema.



## Three-Schema Architecture

- Mappings among schema levels are needed to transform requests and data.
  - Programs refer to an external schema, and are mapped by the DBMS to the internal schema for execution.
  - Data extracted from the internal DBMS level is reformatted to match the user's external view (e.g. formatting the results of an SQL query for display in a Web page)

### Data Independence

### • Logical Data Independence:

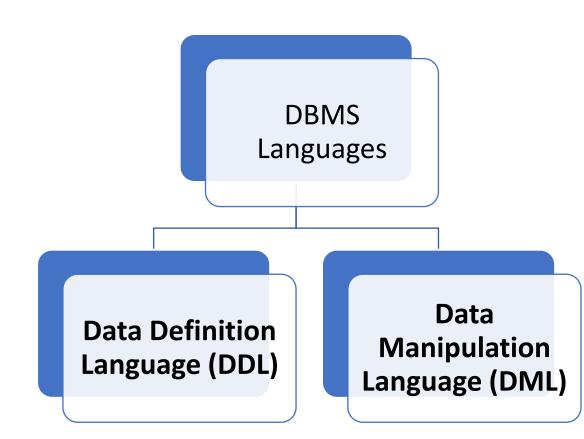
 The capacity to change the conceptual schema without having to change the external schemas and their associated application programs.

### • Physical Data Independence:

- The capacity to change the internal schema without having to change the conceptual schema.
- For example, the internal schema may be changed when certain file structures are reorganized or new indexes are created to improve database performance

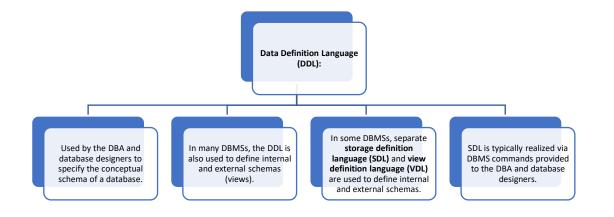
- When a schema at a lower level is changed, only the **mappings** between this schema and higher-level schemas need to be changed in a DBMS that fully supports data independence.
- The higher-level schemas themselves are **unchanged**.
  - Hence, the application programs need not be changed since they refer to the external schemas.

# **DBMS** Languages



- Data Manipulation Language (DML)
  - High-Level or Non-procedural Languages: These include the relational language SQL
    - May be used in a standalone way or may be embedded in a programming language
  - Low Level or Procedural Languages:
    - These must be embedded in a programming language

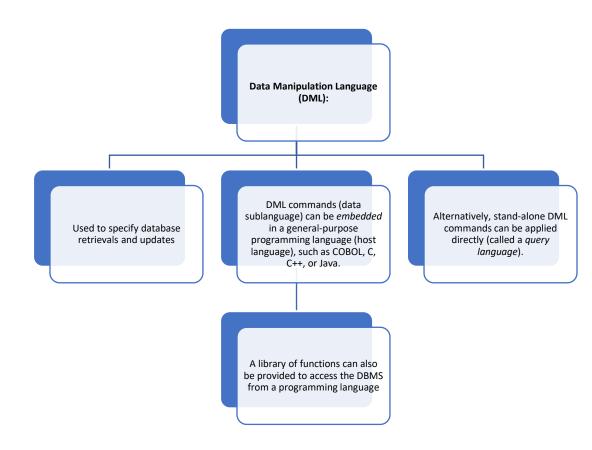
# Data Definition Language (DDL):



### • Data Definition Language (DDL):

- Used by the DBA and database designers to specify the conceptual schema of a database.
- In many DBMSs, the DDL is also used to define internal and external schemas (views).
- In some DBMSs, separate storage definition language (SDL) and view definition language (VDL) are used to define internal and external schemas.
  - SDL is typically realized via DBMS commands provided to the DBA and database designers

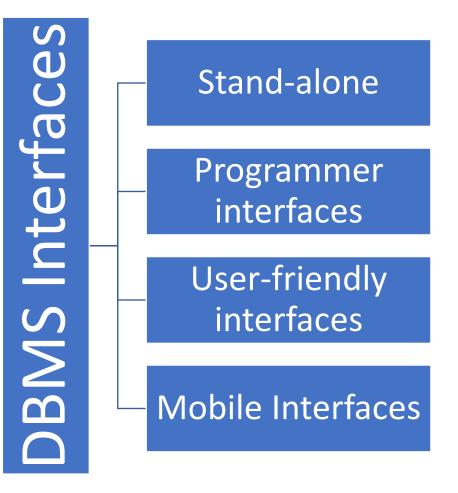
# Data Manipulation Language (DML):



- Data Manipulation Language (DML):
  - Used to specify database retrievals and updates
  - DML commands (data sublanguage) can be *embedded* in a generalpurpose programming language (host language), such as COBOL, C, C++, or Java.
    - A library of functions can also be provided to access the DBMS from a programming language
  - Alternatively, stand-alone DML commands can be applied directly (called a *query language*).

# **DBMS** Interfaces

- Stand-alone query language interfaces
  - Example: Entering SQL queries at the DBMS interactive SQL interface (e.g. SQL\*Plus in ORACLE)
- Programmer interfaces for embedding DML in programming languages
- User-friendly interfaces
  - Menu-based, forms-based, graphicsbased, etc.
- Mobile Interfaces:interfaces allowing users to perform transactions using mobile apps



### DBMS Programming Language Interfaces

- Programmer interfaces for embedding DML in a programming languages:
  - **Embedded Approach**: e.g embedded SQL (for C, C++, etc.), SQLJ (for Java)
  - **Procedure Call Approach**: e.g. JDBC for Java, ODBC (Open Databse Connectivity) for other programming languages as API's (application programming interfaces)
  - Database Programming Language Approach: e.g. ORACLE has PL/SQL, a programming language based on SQL; language incorporates SQL and its data types as integral components
  - Scripting Languages: PHP (client-side scripting) and Python (server-side scripting) are used to write database programs.

### User-Friendly DBMS Interfaces

- Menu-based (Web-based), popular for browsing on the web
- Forms-based, designed for naïve users used to filling in entries on a form
- Graphics-based
  - Point and Click, Drag and Drop, etc.
  - Specifying a query on a schema diagram
- Natural language: requests in written English
- Combinations of the above:
  - For example, both menus and forms used extensively in Web database interfaces

# Other DBMS Interfaces

- Natural language: free text as a query
- Speech : Input query and Output response
- Web Browser with keyword search
- Parametric interfaces, e.g., bank tellers using function keys.
- Interfaces for the DBA:
  - Creating user accounts, granting authorizations
  - Setting system parameters
  - Changing schemas or access paths

## Database System Utilities

- To perform certain functions such as:
  - Loading data stored in files into a database. Includes data conversion tools.
  - Backing up the database periodically on tape.
  - Reorganizing database file structures.
  - Performance monitoring utilities.
  - Report generation utilities.
  - Other functions, such as sorting, user monitoring, data compression, etc.

## Other Tools

- Data dictionary / repository:
  - Used to store schema descriptions and other information such as design decisions, application program descriptions, user information, usage standards, etc.
  - Active data dictionary is accessed by DBMS software and users/DBA.
  - Passive data dictionary is accessed by users/DBA only.

# Chapter Summary

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- DBMS Languages and Interfaces
- Database System Utilities and Tools
- Database System Environment
- Centralized and Client-Server Architectures
- Classification of DBMSs
- History of Data Models